

U.S. DEPARTMENT OF COMMERCE

Performance Progress Report

2. Award Or Grant Number

23-50-M09062

4. Report Date (MM/DD/YYYY)

04-11-2011

1. Recipient Name

State of Maine, ConnectME Authority

6. Designated Entity On Behalf Of:

State of Maine

3. Street Address

138 Statehouse Station,

8. Final Report?

Yes

No

9. Report Frequency

Quarterly

Semi Annual

Annual

Final

5. City, State, Zip Code

Augusta, ME 04333-0138

7. Project / Grant Period
Start Date: (MM/DD/YYYY)

01-01-2010

7a. End Date: (MM/DD/YYYY)

12-31-2014

7b. Reporting Period End Date:

03-31-2011

9a. If Other, please describe:

NA

10. Broadband Mapping

10a. Provider Table

Number of Providers Identified	Number of Providers Contacted	Number of Agreements Reached for Data Sharing	Number of Partial Data Sets Received	Number of Complete Data Sets	Number of Data Sets Verified
0	0	0	0	0	0

10b. Are you submitting the required PROVIDER DATA by using the Excel spreadsheet provided by the SBDD grants office? Yes No

10c. Have you encountered challenges with any providers that indicate they may refuse to participate in this project? Yes No

10d. If so, describe the discussions to date with each of these providers and the current status

The project team has not entered into data sharing agreements with the following providers:

- ACS Telecom Inc.
- Broadview Networks Holdings, Inc.
- Bullseye Telecom
- Caprock Communications
- Cybertek Web Connection
- Hughes Network Systems
- Hussey Communications
- Level 3 Communications
- Matrix Telecom
- Mitel Netsolutions
- Paetec Communications
- Qwest Communications International, Inc.
- RCN Corporation
- Segtel
- SkyWay USA
- Utel (d/b/a Bay Ring Communications)
- Wholesale Carrier Services
- World Discount Telecommunications (WDT)

For more details, please refer to the Q1 Supplemental Answers Document.

10e. If you are collecting data through other means (e.g. data extraction, extrapolation, etc), please describe your progress to date and the relevant activities to be undertaken in the future

Community Anchor Institutions – The Community Anchor Institution (CAI) data set has been assembled from several different sources such as the State of Maine Office of GIS (MEGIS), State of Maine Office of Information Technology (OIT), NAVTEQ NAVSTREETS Points of Interest, the State of Maine Department of Health and Human Services (DHHS), the State of Maine Office of Risk Management, the State of Maine Emergency Management Agency, the University of Maine System, Maine School and Library Network (MSLN), Maine’s Research & Education Network (MaineREN), and SteepleCom. The project team is conducting an extensive phone survey task to collect broadband service data for the CAI records. As of 31-March-2011, the existence of broadband service has been captured for 3,326 out of 4,404 CAI records.

Third Party Commercial Data Sets – The project team has licensed the CoverageRight by State product from American Roamer for

Maine. CoverageRight contains coverages for both mobile and fixed wireless providers. These coverages are being compared to coverages created from provider data submittals to help verify the extents of the served areas and could potentially infill areas where providers have not yet submitted data.

The project evaluated the MicroBuild Broadband Indicator product from Gadberry Group for Maine as well as a broadband pricing product for Maine from Telogical Systems. The project team determined that the products do not offer the level of information necessary to justify their costs.

10f. Please describe the verification activities you plan to implement

- Provider Validation
- Speed Test Tool
- Field Verification
- Online Survey
- Mail Survey
- Telephone Survey
- Service Locations / Failed Service Location
- FCC Form 477 Aggregate Data
- FCC Consumer Broadband Test (CBT) Data
- Third Party Commercial Data Sets
- Broadband Availability Website
- E911 ALI Database Report

For more details, please refer to the Q1 Supplemental Answers Document.

10g. Have you initiated verification activities? Yes No

10h. If yes, please describe the status of your activities

The project team has initiated the following verification activities:

- Provider Validation
- Speed Test Tool
- Field Verification
- Online Survey
- Mail Survey
- Telephone Survey
- Service Locations / Failed Service Locations
- FCC Form 477 Aggregate Data
- Third Party Data Commercial Sets

For more details, please refer to the Q1 Supplemental Answers Document.

10i. If verification activities have not been initiated please provide a projected time line for beginning and completing such activities

The project team expects to initiate the following verification activities:

- FCC Consumer Broadband Test (CBT) Data
- Broadband Availability Website
- E911 ALI Database Report

For more details, please refer to the Q1 Supplemental Answers Document.

Staffing

10j. How many jobs have been created or retained as a result of this project?

5.53

10k. Is the project currently fully staffed? Yes No

10l. If no, please explain how any lack of staffing may impact the project's time line and when the project will be fully staffed

NA

10m. When fully staffed, how many full-time equivalent (FTE) jobs do you expect to create or retain as a result of this project?

NA

10n. Staffing Table

Job Title	FTE %	Date of Hire
Executive GIS System Consultant	2	09/02/2009

Senior Project Manager	31	09/02/2009
Project Manager	55	09/02/2009
Project Coordinator	12	09/02/2009
Software Developer III	4	09/02/2009
Software Developer II	1	09/02/2009
Database Programmer	1	09/02/2009
Programmer	7	09/02/2009
GIS System Analyst III	100	09/02/2009
GIS System Analyst III	33	09/02/2009
GIS System Analyst II	100	09/02/2009
GIS System Analyst II	13	09/02/2009
GIS Technician III	43	09/02/2009
GIS Technician II	24	09/02/2009
GIS Technician I	100	09/02/2009
GIS Technician I	26	09/02/2009
Senior Admin. Asst.	1	09/02/2009

Sub Contracts

10o. Subcontracts Table

Name of Subcontractor	Purpose of Subcontract	RFP Issued (Y/N)	Contract Executed (Y/N)	Start Date	End Date	Federal Funds	In-Kind Funds
James W. Sewall	Mapping	Y	Y	09/09/2009	09/20/2012	3,485,000	228,754
James W. Sewall	Planning	Y	Y	08/24/2010	12/31/2014	436,000	111,633

Funding

10p. How much Federal funding has been expended as of the end of the last quarter? \$888,475 10q. How much Remains? \$4,085,017

10r. How much matching funds have been expended as of the end of last quarter? \$303,332 10s. How much Remains? \$1,062,543

10t. Budget Worksheet

Mapping Budget Element	Federal Funds Granted	Proposed In-Kind	Total Budget	Federal Funds Expended	Matching Funds Expended	Total Funds Expended
Personal Salaries	\$67,670	\$275,134	\$342,804	\$0	\$6,892	\$5,392
Personnel Fringe Benefits	\$33,330	\$85,889	\$119,219	\$0	\$913	\$0
Travel	\$19,010	\$36,699	\$55,709	\$0	\$1,250	\$1,250
Equipment	\$0	\$0	\$0	\$0	\$0	\$0
Materials / Supplies	\$32,500	\$0	\$32,500	\$0	\$0	\$0
Subcontracts Total	\$3,986,140	\$119,038	\$4,105,178	\$888,475	\$0	\$888,475

Mapping Budget Element	Federal Funds Granted	Proposed In-Kind	Total Budget	Federal Funds Expended	Matching Funds Expended	Total Funds Expended
Subcontract #1	\$2,486,500	\$10,000	\$2,496,500	\$0	\$0	\$0
Subcontract #2	\$430,000	\$10,000	\$440,000	\$0	\$0	\$0
Subcontract #3	\$468,000	\$0	\$468,000	\$0	\$0	\$0
Subcontract #4	\$511,640	\$99,038	\$610,678	\$0	\$0	\$0
Subcontract #5	\$90,000	\$0	\$90,000	\$0	\$0	\$0
Construction	\$0	\$0	\$0	\$0	\$0	\$0
Other	\$685,380	\$826,885	\$6,167,675	\$0	\$57,523	\$45,000
Total Direct Costs	\$4,824,030	\$1,343,645	\$159,954	\$888,475	\$66,578	\$940,117
Total Indirect Costs	\$149,462	\$22,230	\$171,692	\$0	\$0	\$0
Total Costs	\$4,973,492	\$1,365,875	\$6,339,367	\$888,475	\$66,578	\$955,053
% Of Total	78	22	100	93	7	100

Hardware / Software

10u. Has the project team purchased the software / hardware described in the application? Yes No

10v. If yes, please list

Speed Test Tool – The underlying technology for the Speed Test web application belongs to Ookla Networks. Ookla Networks received a one time fee to customize the tool for the State of Maine speed test web site and receives a yearly license fee. Associated Cost – Customization fee: \$1,000.00; first year license fee: \$495.00; second year license fee: \$495.00

Database and Server Software Licenses – In order to host the Service Provider Data Upload web application and store the provider data submitted, licenses for Windows Server and for SQL Server, Standard Edition were acquired. To develop the provider data upload application, Adobe Flex was acquired. Associated Cost – Windows Server & SQLServer, Standard Edition: \$4,369.92; Adobe Flex: \$498.00

Mobile Wireless Field Collection Units – Hardware and software were purchased for the mobile wireless field collection units. The hardware included laptops with mobile wireless USB connection modems/cards and the software included Microsoft Office applications and Microsoft Streets and Trips. Associated Cost – Laptops and software: \$2,757.04; USB wireless air cards: \$1,856.25

10w. Please note any software / hardware that has yet to be purchased and explain why it has not been purchased

The project team is evaluating a solution that would provide an online map gallery and service provider coverage map portal. The team has not yet reached a final decision but expects to have this in place during the second quarter of 2011.

10x. Has the project team purchased or used any data sets? Yes No

10y. If yes, please list

The project team licensed a third party road centerline data set for Maine. The NAVTEQ NAVSTREETS product was licensed to fill in those areas in the State of Maine that are not currently covered by the State's E911 roads data set. MEGIS licensed product.

The project team licensed CoverageRight by State product from American Roamer for Maine. The American Roamer CoverageRight product for Maine has a 2 year license agreement at a fee of \$12,671.25. The Mapping project has paid the costs for the first year - \$6,335.63.

The project team has purchased residential and business address lists from InfoUSA. So far the Mapping project has acquired 10,000 addresses from InfoUSA at a cost of \$650.00.

10z. Are there any additional project milestones or information that has not been included? Yes No

10aa. If yes, please list

Collaboration – The project team has been in contact with the five Native Nations located in Maine. The team has determined that

none of the Native Nations own any broadband service infrastructure but rely on commercial vendors. The team also acquired locations of their Community Anchor Institutions as well as GIS boundary data for their lands. The five Native Nation entities are:

- Maliseet Houlton
- Passamaquoddy at Indian Township
- Passamaquoddy at Pleasant Point
- Penobscot
- Aroostook Band of Micmacs

The project team has also worked with several other parties including:

- NTIA
- FCC
- State of Maine ConnectME Authority
- State of Maine Public Utilities Commission
- State of Maine Office of GIS
- State of Maine Office of Information Technology
- State of Maine Department of Health and Human Services
- State of Maine Department of Education
- State of Maine Planning Office
- State of Maine Office of Risk Management
- State of Maine Emergency Management Agency
- State of Maine Emergency Services Communication Bureau
- State of Maine Legislators
- University of Maine System
- Maine School & Library Network
- Maine's Research & Education Network
- Maine Municipal Association
- Maine's Three Ring Binder Project
- Axiom Technologies' Washington County Education and Employment through Sustainable Broadband Adoption Project in Maine
- New England states SBDD grantees (New Hampshire, Connecticut, Massachusetts, Vermont, & Rhode Island)
- State of Washington SBDD grantee

10bb. Please describe any challenge or obstacle that you have encountered and detail the mitigation strategies the project team is employing

The Mapping project team has encountered challenges in the following areas:

Provider Data Formats

State E911 Street Coverage

Misalignment between Year 2000 Census Blocks & Maine E911 Streets

Fixed Wireless Propagation Modeling

Middle Mile Locations

Resellers

Data Confidence Intervals

Assimilating Verification Findings

CAI Broadband Service Information

For more details, please refer to the Q1 Supplemental Answers Document.

10cc. Please provide any other information that you think would be useful to NTIA as it assesses your Broadband Mapping Project

State of Maine Broadband Availability Website – The State is scheduled to have a public-facing website deployed and operational during the second quarter of 2011. The website will return potential broadband services when a user queries a location. It will also have a form to capture users' feedback and a map gallery with static maps available for download.

11. Broadband Planning

11a. Please describe progress made against all goals, objectives, and milestones detailed in the approved Project Plan. Be sure to include a description of each major activity / milestone that you plan to complete and your current status

The major activities for the Planning project are:

- Project Setup
- Data Gathering
- Data Analysis
- Needs Assessment
- Strategic Plan
- Program Implementation
- Measure Effectiveness
- Refine Strategic Plan

For more details, please refer to the Q1 Supplemental Answers Document.

11b. Please describe any challenge or obstacle that you have encountered and detail the mitigation strategies the project team is employing

The Planning project team has encountered challenges in the following areas:

Reaching Rural Residents

Survey Returns

Subscriber Counts

For more details, please refer to the Q1 Supplemental Answers Document.

11c. Does the Project Team anticipate any changes to the project plan for Broadband Planning? Yes No

11d. If yes, please describe these anticipated changes. Please note that NTIA will need to approve changes to the Project Plan before they can be implemented

N/A

Funding

11e. How much Federal funding has been expended as of the end of the last quarter? \$0

11f. How much Remains? \$0

11g. How much matching funds have been expended as of the end of last quarter? \$0

11h. How much Remains? \$0

11i. Planning Worksheet

Personal Salaries	\$0	\$0	\$0	\$0	\$0	\$0
Personnel Fringe Benefits	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0	\$0	\$0	\$0
Equipment	\$0	\$0	\$0	\$0	\$0	\$0
Materials / Supplies	\$0	\$0	\$0	\$0	\$0	\$0
Subcontracts Total	\$0	\$0	\$0	\$0	\$0	\$0
Subcontract #1	\$0	\$0	\$0	\$0	\$0	\$0
Subcontract #2	\$0	\$0	\$0	\$0	\$0	\$0
Subcontract #3	\$0	\$0	\$0	\$0	\$0	\$0
Subcontract #4	\$0	\$0	\$0	\$0	\$0	\$0
Subcontract #5	\$0	\$0	\$0	\$0	\$0	\$0
Construction	\$0	\$0	\$0	\$0	\$0	\$0
Other	\$0	\$0	\$0	\$0	\$0	\$0
Total Direct Costs	\$0	\$0	\$0	\$0	\$0	\$0
Total Indirect Costs	\$0	\$0	\$0	\$0	\$0	\$0
Total Costs	\$0	\$0	\$0	\$0	\$0	\$0
% Of Total	0	0	0	0	0	0

Additional Planning Information

11j. Are there any additional project milestones or information that has not been included?

NA

11k. Please describe any challenge or obstacle that you have encountered and detail the mitigation strategies the Project Team is employing

The largest challenge the ConnectME Authority is facing is securing funding for the supplemental projects: Capacity Building and Technical Assistance. Both projects are behind schedule and unable to plan for time sensitive major project deliverables. The mitigation strategy is to provide NTIA with timely responses to questions and additional information requests.

11l. Please provide any other information that you think would be useful to NTIA as it assesses your Broadband Mapping Project
ConnectME Authority sponsored Broadband Summit 1.0 on March 30th. The event provided an excellent first venue for Maine's ARRA broadband recipients to become more aware of the multiple federally funded projects underway in Maine, discuss opportunities and forge relationships to leverage our strengths and challenges moving forward and further understand ConnectME's role in broadband expansion throughout the state. Focus areas of panels presenting included: expanding broadband access, spurring economic development, increasing adoption, advancing health information technology initiatives and educating citizens around broadband. Summit materials and more information can be located at: <http://www.maine.gov/connectme/summit/index.shtml>.

12. Certification: I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purpose set forth in the award documents.

12a. Typed or Printed Name and Title of Authorized Certifying Official

Lisa Leahy

12c. Telephone
(area code, number, and extension)

12d. Email Address

Lisa.Leahy@maine.gov

12b. Signature of Authorized Certifying Official

Submitted Electronically

12e. Date Report Submitted
(Month, Day, Year)

06-13-2011