

U.S. DEPARTMENT OF COMMERCE

## Performance Progress Report

**2. Award or Grant Number**

21-50-M09061

**4. Report Date (MM/DD/YYYY)**

10-12-2012

**1. Recipient Name**

Commonwealth of Kentucky

**6. Reporting Period End Date:**

09-30-2012

**3. Street Address**

700 Capitol Avenue,

**5. City, State, Zip Code**

Frankfort, KY 40601-3410

**7a. Project / Grant Period Start Date: (MM/DD/YYYY)**

01-01-2010

**7b. End Date: (MM/DD/YYYY)**

12-31-2014

**8. Designated Entity on Behalf of:**

N/A

**9. List the individual projects in your approved project plan**

	Project Type (Data Collection, Capacity Building, Technical Assistance, etc.)	Project Name (if different from Project Type)	Total Federal Funding Amount	Total Federal Funding Amount expended at the end of this reporting period	Percent of Total Federal Funding amount expended
1	Data Collection	N/A	3,402,717	2,247,792	66
2	Technical Assistance	N/A	1,900,000	584,432	31
3	N/A	N/A	0	0	0
4	N/A	N/A	0	0	0
5	N/A	N/A	0	0	0

**10. Personnel**

**10a. If the project is not fully staffed, describe how any lack of staffing may impact the project's timeline and when the project will be fully staffed.**

N/A

**10b. Staffing Table**

Job Title	FTE %	Project(s) Assigned	Change
Executive Director	20	Data Collection	No Change
Executive Director	80	Technical Assistance	No Change

Program Administrator	20	Data Collection	No Change
Program Administrator	80	Technical Assistance	No Change

**11. Subcontracts (Vendors and Subrecipients)**

11a. Subcontracts Table - Include all subcontractors. The totals from this table equal the "Subcontractor Total" for the Program Budget Worksheet (Q. 12, Column 2, 3, and 4)

Name	Subcontract Purpose	Type (Vendor/Subrec)	RFP Issued (Y/N)	Contract Executed (Y/N)	Start Date	End Date	Total Federal Funds Allocated	Total Matching Funds Allocated	Project and % Assigned (Example: Data Collection 75)	
Michael Baker Inc	Data Collection	Vendor	Yes	Yes	02/01/2010	12/31/2014	3,002,716	0	Data Collection	81
Michael Baker Inc	Technical Assistance	Vendor	No	Yes	08/26/2011	01/31/2013	717,932	0	Technical Assis	19
Murray State University	Data Collection	Vendor	No	Yes	06/15/2010	06/30/2011	399,762	0	Validation Serv	100
Kentucky Area Development Districts	Technical Assistance	Subrecipient	No	Yes	12/08/2011	12/31/2014	450,000	0	Technical Assis	100
N/A	Technical Assistance	Vendor	No	No	12/31/2014	12/31/2014	732,307	0	Technical Assis	0%

**11b. Describe any challenges encountered with vendors or subrecipients.**

Please see 12Q3\_Project Attachment\_Question 11b\_Data Collection\_KY for challenges encountered with vendors or subrecipients.

**12. Budget worksheet**

Columns 2, 3, and 4 will match your current project budget for your entire award, which is the SF-424A on file. Only list matching funds that the Department of Commerce has already approved.

Project Budget Element	Federal Funds Awarded	Approved Matching Funds	Total Budget	Federal Funds Expended	Approved Matching Funds Expended	Total Funds Expended
Personnel Salaries	\$56,943	\$798,048	\$854,991	\$0	\$372,452	\$372,452
Personnel Fringe Benefits	\$19,038	\$96,826	\$115,864	\$0	\$80,222	\$80,222
Travel	\$0	\$6,000	\$6,000	\$0	\$3,707	\$3,707

Project Budget Element	Federal Funds Awarded	Approved Matching Funds	Total Budget	Federal Funds Expended	Approved Matching Funds Expended	Total Funds Expended
Equipment	\$0	\$16,956	\$16,956	\$0	\$0	\$0
Materials / Supplies	\$0	\$0	\$0	\$0	\$7,873	\$7,873
<b>Subcontracts Total</b>	\$4,674,509	\$0	\$4,674,509	\$2,832,224	\$0	\$2,832,224
Construction	\$0	\$0	\$0	\$0	\$0	\$0
Other	\$552,227	\$408,358	\$960,585	\$0	\$247,311	\$247,311
<b>Total Direct Costs</b>	\$5,302,717	\$1,326,188	\$6,628,905	\$2,832,224	\$711,565	\$3,543,789
<b>Total Indirect Costs</b>	\$0	\$0	\$0	\$0	\$0	\$0
<b>Total Costs</b>	\$5,302,717	\$1,326,188	\$6,628,905	\$2,832,224	\$711,565	\$3,543,789
<b>% of Total</b>	80	20	100	80	20	100
<b>13. Hardware / Software</b>						
13a. List any hardware/software purchased during this reporting period.						
N/A						
13b. Please note any hardware/software that has yet to be purchased and explain why it has not been purchased.						
N/A						
<b>14. SBI PPR Project Attachment (Skip question 14b if Data Collection is your only project).</b>						
14a. Complete a SBI PPR Project Data Collection Attachment and attach it to the PPR.						
14b. Complete a SBI PPR Attachment for each additional funded project and attach it to the PPR.						
<b>15. Certification: I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purpose set forth in the award documents.</b>						
15a. Typed or Printed Name and Title of Authorized Certifying Official				15c. Telephone (area code, number, and extension)		
Dana Case						

	15d. Email Address dana.case@ky.gov
15b. Signature of Authorized Certifying Official  Submitted Electronically	15e. Date Report Submitted (MM/DD/YYYY)  12-10-2012