

QUARTERLY PERFORMANCE PROGRESS REPORT FOR BROADBAND INFRASTRUCTURE PROJECTS

General Information		
1. Federal Agency and Organizational Element to Which Report is Submitted GOL	2. Award Identification Number NT10BIX5570055	3a. DUNS Number 800021990
		3b. EIN 860204662
4. Recipient Organization (Name and complete address including country, congressional district, and zip code) Navajo Tribal Utility Authority Company Highway 12 N, Fort Defiance, AZ 86504		
5. Current Reporting Period End Date (MM/DD/YYYY) 09-30-2010	6. Is this the last Report of the Award Period? <input type="radio"/> Yes <input checked="" type="radio"/> No	
7. Certification: I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purposes set forth in the award documents.		
7a. Typed or Printed Name and Title of Certifying Official Monroe Keedo	7c. Telephone (area code, number and extension) 9287296282	
	7d. Email Address monroek@ntua.com	
7b. Signature of Certifying Official Submitted Electronically	7e. Date Report Submitted (MM/DD/YYYY): 10-29-2010	

Project Indicators (This Quarter)

1. Please describe significant project accomplishments completed during this quarter (150 words or less).
 Major Accomplishments include the following: Final Draft of Environmental Assessment (EA) has been completed and submitted. Request for Proposals(RFP) for the following are complete and have been published on the NTUA website: Fiber Optic Cable (OPGW), Fiber Optic Cable (All Dielectric Loose Tube Fiber), Microwave/Broadband Towers, Electronics Equipment & Installation, Installation of All Dielectric Loose Tube Fiber Optic Cable by lashing onto Neutral Wire of Existing Distribution Lines, Replacement of Static Wire on Existing Transmission Lines with Optical Ground Shield Wire (OPGW) ,35KW Natural Gas Standby Generator, and Fiberglass Telecommunications Shelters.

2. Please provide the percent complete for the following key milestones in your project. Write "N/A" in the second column if your project does not include this activity. If you provided additional milestones in your baseline plan, please insert them at the bottom of the table. Unless otherwise indicated in the instructions, figures should be reported cumulatively from award inception to the end of the most recent reporting quarter. Please provide a narrative description if the percent complete is different from the target provided in your baseline plan (100 words or less).

	Milestone	Percent Complete	Narrative (describe reasons for any variance from baseline plan or subsequent written updates provided to your program officer)
2a.	Overall Project	15	No Variance
2b.	Environmental Assessment	100	No Variance (Final Draft of EA has been completed and submitted)
2c.	Network Design	100	No Variance (Engineering Design has been completed)
2d.	Rights of Way	43	Projecting completion by end of next quarter
2e.	Construction Permits and Other Approvals	75	No Variance
2f.	Site Preparation	5	Projecting to begin construction in the next quarter
2g.	Equipment Procurement	25	No Variance. Procurement process is proceeding on schedule
2h.	Network Build (all components - owned, leased, IRU, etc)	0	No Variance
2i.	Equipment Deployment	0	No Variance
2j.	Network Testing	0	No Variance
2k.	Other (please specify):	0	-

3. To the extent not covered above, please describe any challenges or issues faced during this past quarter in achieving planned progress against the project milestones listed above. In particular, please identify any areas or issues where technical assistance from the BTOP program may be useful (150 words or less).
 Challenges are abound when dealing with a project of this magnitude. During the past quarter, our biggest challenge was to complete and submit the Environmental Assessment. Other challenges include finalizing the ROW.

4. Please report the following information regarding network build progress. Write "N/A" in the second column if your project does not include this activity. Unless otherwise indicated in the instructions, figures should be reported cumulatively from award inception to the end of the most recent reporting quarter. Please provide a narrative description if the total is different from the target provided in your baseline plan (100 words or less).

Indicator	Total	Narrative (describe your reasons for any variance from the baseline plan or any other relevant information)
New network miles deployed	0	No Variance
New network miles leased	0	No Variance
Existing network miles upgraded	0	No Variance
Existing network miles leased	0	No Variance
Number of miles of new fiber (aerial or underground)	0	No Variance
Number of new wireless links	0	No Variance
Number of new towers	0	No Variance
Number of interconnection points	0	No Variance

For questions 5 and 6 please include information relating to agreements that you are negotiating or have entered into, or that your subrecipient, contractor or subcontractor is negotiating or entered into.

5a. If applicable, please provide the following information with regard to agreements with broadband wholesalers and/or last mile providers as a result of your project.

Indicators	
Number of signed agreements with broadband wholesalers or last mile providers	0

RECIPIENT NAME:GOL
AWARD NUMBER: NT10BIX5570055
DATE: 2010-10-29 18:18:58

OMB CONTROL NUMBER: 0660-0037
EXPIRATION DATE: 12-31-2010

Indicators	
Number of agreements currently being negotiated with broadband wholesalers or last mile providers	6
Average term of signed agreements	0

5b. Please list the names of the wholesale and last mile providers with whom you have signed agreements. Providers:
 None

5c. What wholesale services are being provided by this project? Please describe below. As an attachment to this report, please provide pricing plans (in \$ per month) associated with each wholesale service provided by your product. Wholesale services description:
 Please refer to attachment A

5d. If you have designated a third party to operate all or a portion of your network, please provide the name and contact information for this third party, indicate if this entity is a subrecipient, contractor, and/or subcontractor, and describe with specificity the portion of your network this third party operates (150 words or less).
 N/A

6. Please provide the data according to the type of subscriber. Write "N/A" if your project does not pass or serve a particular subscriber type. Unless otherwise indicated in the instructions, figures should be reported cumulatively from award inception to the end of the most recent reporting quarter. Please provide a narrative description if the total is different from the target provided in your baseline plan (100 words or less).

Subscriber Type	Access Type	Total	Narrative (describe your reasons for any variance from the baseline plan or any other relevant information)
Broadband Wholesalers or Last Mile Providers	Providers with signed agreements receiving new access	0	No Variance
	Providers with signed agreements receiving improved access	0	No Variance
	Providers with signed agreements receiving access to dark fiber	0	No Variance
	Please identify the speed tiers that are available and the number of subscribers for each	0	No Variance
Community Anchor Institutions (including Government institutions)	Total subscribers served	0	No Variance
	Subscribers receiving new access	0	No Variance
	Subscribers receiving improved access	0	No Variance
	Please identify the speed tiers that are available and the number or subscribers for each	0	No Variance
Residential / Households	Entities passed	0	No Variance
	Total subscribers served	0	No Variance
	Subscribers receiving new access	0	No Variance
	Subscribers receiving improved access	0	No Variance
	Please identify the speed tiers that are available and the number of subscribers for each	0	No Variance
Businesses	Entities passed	0	No Variance
	Total subscribers served	0	No Variance
	Subscribers receiving new access	0	No Variance
	Subscribers receiving improved access	0	No Variance
	Please identify the speed tiers that are available and the number of subscribers for each	0	No Variance

7. Please describe any special offerings you may provide (150 words or less).

N/A

8a. Have your network management practices changed over the last quarter? No Yes

8b. If so, please describe the changes (150 words or less).

N/A

9. Community Anchor Institutions:

Using the table below, please provide a list by service area of the community anchor institutions (including Government institutions) connected to your network as a result of BTOP funds. Unless otherwise indicated in the instructions, figures should be reported cumulatively from award inception to the end of the most recent calendar year. Also indicate whether your organization is currently providing broadband service to the anchor institution. Finally, provide a short narrative description with examples of how institutions are using BTOP-funded infrastructure (100 words or less).

Institution Name	Service Area (town or county)	Type of Anchor Institution (as defined in your baseline)	Are you also the broadband service provider for this institution? (Yes / No)	Narrative description of how anchor institutions are using BTOP-funded infrastructure
N/A	N/A	N/A	N/A	N/A
N/A	N/A	N/A	N/A	N/A
N/A	N/A	N/A	N/A	N/A

Project Indicators (Next Quarter)

1. Please describe significant project accomplishments planned for completion during the next quarter (150 words or less).

Significant project accomplishments include commencing the RFP process and procuring of all equipment and materials. Other project accomplishments include starting construction process with the intent to start providing services to completed segments. Furthermore, finalizing agreements with wholesale and last mile providers is projected to be completed.

2. Please provide the percent complete for the following key milestones in your project. Write "N/A" in the second column if your project does not include this activity. If you provided additional milestones in your baseline plan, please insert them at the bottom of the table. Unless otherwise indicated in the instructions, figures should be reported cumulatively from award inception to the end of the most recent reporting quarter. Please provide a narrative description if the percent complete is different from the target provided in your baseline plan (100 words or less).

	Milestone	Planned Percent Complete	Narrative (describe reasons for any variance from baseline plan or any other relevant information)
2a.	Overall Project	18	Original projection was set at 15%. Expected construction start date moved ahead of schedule
2b.	Environmental Assessment	100	No Variation
2c.	Network Design	100	No Variation
2d.	Rights of Way	75	Projecting completion by next quarter
2e.	Construction Permits and Other Approvals	100	Projecting completion by next quarter
2f.	Site Preparation	10	Projecting to begin construction this quarter
2g.	Equipment Procurement	50	No Variance
2h.	Network Build (all components - owned, leased, IRU, etc.)	10	Projecting to begin construction this quarter
2i.	Equipment Deployment	25	Projecting to begin equipment deployment in conjunction with construction
2j.	Network Testing	0	No Variance
2k.	Other (please specify):	0	No Variance

3. Please describe any challenges or issues anticipated during the next quarter that may impact planned progress against the project milestones listed above. In particular, please identify any areas or issues where technical assistance from the BTOP program may be useful (150 words or less).

The most significant challenge for the following quarter involves securing BIA approval for Right of Way, finishing RFP process and finalizing equipment procurement, and starting construction on the Mountain pass between Tohatchi to the Coalmine Substation before weather conditions become perilous.

Infrastructure Budget Execution Details

Activity Based Expenditures (Infrastructure)

1. Please provide details below on your total budget, cumulative actual expenditures (for the period ending the current quarter), and cumulative anticipated expenditures (for the period ending next quarter) for each line item, including detailed disbursements of both matching funds and federal funds from project inception through end of this quarter (actual) or next quarter (anticipated). Actual and anticipated figures should be reported cumulatively from award inception to the end of the applicable reporting quarter.

Budget for Entire Project				Actuals from Project Inception through End of Current Reporting Period			Anticipated Actuals from Project Inception through End of Next Reporting Period		
Cost Classification	Total Cost (plan)	Matching Funds (plan)	Federal Funds (plan)	Total Cost	Matching Funds	Federal Funds	Total Costs	Matching Funds	Federal Funds
a. Administrative and legal expenses	\$100,000	\$0	\$100,000	\$85,849	\$0	\$85,849	\$100,000	\$0	\$100,000
b. Land, structures, right-of-ways, appraisals, etc.	\$890,000	\$250,000	\$640,000	\$148,088	\$67,760	\$80,328	\$211,554	\$86,799	\$124,754
c. Relocation expenses and payments	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
d. Architectural and engineering fees	\$1,996,657	\$800,000	\$1,196,657	\$36,961	\$34,422	\$2,539	\$102,801	\$54,174	\$48,627
e. Other architectural and engineering fees	\$9,600	\$0	\$9,600	\$0	\$0	\$0	\$4,500	\$0	\$4,500
f. Project inspection fees	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
g. Site work	\$3,529,823	\$0	\$3,529,823	\$0	\$0	\$0	\$100,000	\$0	\$100,000
h. Demolition and removal	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
i. Construction	\$36,819,22	\$12,171,57	\$24,647,65	\$0	\$0	\$0	\$0	\$0	\$0
j. Equipment	\$2,253,000	\$574,166	\$1,678,833	\$80,030	\$79,590	\$440	\$114,328	\$89,879	\$24,449
k. Miscellaneous	\$387,500	\$0	\$387,500	\$385,958	\$113,456	\$272,502	\$551,368	\$163,079	\$388,289
l. SUBTOTAL (add a through k)	\$45,985,80	\$13,795,74	\$32,190,06	\$736,886	\$295,228	\$441,658	\$1,184,551	\$393,931	\$790,619
m. Contingencies									
TOTALS (sum of l and m)	\$45,985,80	\$13,795,74	\$32,190,06	\$736,886	\$295,228	\$441,658	\$1,184,551	\$393,931	\$790,619

2. Program Income: Please provide the program income you listed in your application budget and actuals to date through the end of the reporting period.

a. Application Budget Program Income: \$0	b. Program Income to Date: \$0
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